

NO.J-26011/9/2010-NRCD-II
Government of India
Ministry of Environment & Forests
NATIONAL RIVER CONSERVATION DIRECTORATE

"Paryavaran Bhawan", C.G.O. Complex,
Lodhi Road, New Delhi-110003
Dated:- 1st March, 2011

Shri Debashish Sen,
Principal Secretary,
Department of Urban Development,
Government of West Bengal,
"Nagarayan Bhawan", DF-6, Sector-1,
Kolkata (West Bengal).

Sub:- **Administrative Approval and Expenditure Sanction for the project of "Sewerage System and Sewage Treatment Plant (STP) schemes for pollution abatement of river Ganga at Bhatpara town of West Bengal" under National Ganga River Basin Authority (NGRBA) at an estimated cost of Rs.228.52 crore (Rupees Two hundred twenty eight crore and fifty two lakhs only) – and Release of Grant-in-Aid of 1st instalment of Rs.36,42,00,000/- (Rupees Thirty six crore and forty two lakhs only) in favour of Chief Executive Officer, Kolkata Metropolitan Development Authority (KMDA), Prashashan Bhawan, Sector-I, Salt Lake City, Kolkata-700064 for the financial year 2010-2011.**

Sir,

I am directed to convey the sanction of the President to the grant of Administrative Approval and Expenditure Sanction (AA&ES) for the project of Sewerage System and Sewage Treatment Plant (STP) schemes for pollution abatement of river Ganga at **Kalyani and Bhatpara towns West Bengal**" under National Ganga River Basin Authority (NGRBA) at an estimated cost of **Rs.228.52 crore (Rupees Two hundred twenty eight crore and fifty two lakhs only)**. The cost is to be shared on 70:30 basis between Govt. of India and the State Govt. of West Bengal. The Govt. of India's share @ 70% will be Rs.159.96 crore and the State Govt.'s share @ 30% will be Rs.68.56 crore.

2. Sanction of the President is also conveyed to the release of Grant-in-Aid of 1st instalment payment of **Rs.36,42,00,000/- (Rupees Thirty six crore and forty two lakhs only)** being the 25% of Govt. of India's share of capital costs in favour of Chief Executive Officer, Kolkata Metropolitan Development Authority (KMDA), Kolkata, West Bengal for the above mentioned project proposal.
3. Break-up of the sanctioned cost is at **Annexure-I**.
4. Administrative Approval and Expenditure Sanction for the scheme is granted subject to the following conditions:-
 - (i) State Project Monitoring Group (SPMG) for NGRBA projects is required to be registered and notified.

- (ii) Any cost over and above the sanctioned cost, will be the sole responsibility of the State Govt as committed by them. The GoI share of the total project cost shall be limited to **Rs.159.96 crore**.
- (iii) The period of completion of the scheme is **36 months** from the date of this sanction i.e. the completion date of the project is **28.2.2014**. Progress of implementation of the project shall be closely monitored by the Govt. of West Bengal so as to ensure that the project is completed within the stipulated time. Schedule for completion of the scheme and all other conditions shall be strictly adhered to.
- (iv) Operation & Maintenance (O&M) will be the responsibility of the State Govt./Local Body. The cost of O&M for the first 5 years will be shared between GoI & State Government on 70:30 basis, with the O&M cost for the remaining years to be fully borne by the State Government/ULB. The continuation of GoI funding for O&M after 2 years will be dependent on a review carried out to determine satisfactory achievement of commitments made by ULB in the O&M Plan and MoA submitted. Cost overrun for O&M, if any, will also be met by the State Government/ULB.
- (v) The State Govt. shall expeditiously release its share of funds corresponding to the GoI release made for the project.
- (vi) The choice of technology for sewage treatment shall be left open during the tendering process by the State implementing agency and will be guided by the least life cycle cost and the output to be achieved as prescribed. DBO model to be adopted for STP.
- (vii) Detailed design & engineering of the sewerage system and STP based on proper survey and investigations before execution shall be ensured by the State Govt./Implementing agency to achieve economy in the proposal as well as to avoid any shortfall in the design.
- (viii) The State Govt. shall ensure appointment of agency (ies) for third party inspection/evaluation of projects implemented under NGRBA in West Bengal latest by 31-3-2011. TPI reports shall be submitted at regular intervals.
- (ix) Commitments made by the State Govt. & concerned ULB in the Tripartite MoA signed by them for the project relating to timely project execution, evaluation and monitoring at regular intervals, proper O&M and commitment to reforms shall be strictly adhered to. **Commitments of the State Government/ULB to reform and outcome, O&M and accountability in project execution shall also be submitted.**
- (x) Expenditure of the funds being released to be commensurate with the physical progress of works. Targets to be achieved with the present release is indicated in the **Annexure-II**. This will be taken into consideration for the next release of central grant.
- (xi) Conditions/commitments indicated in the ESC Memorandum and its Minutes, compliance response of new guidelines and other documents shall be strictly adhered to in the project implementation and management.
- (xii) The funds for the project implementation will be released by the GOI in suitable instalments and each instalment will be released only after scrutiny of the Utilization Certificate and Progress Report in respect of the previous instalment, periodical examination of any mismatch between the funds released and physical progress achieved component-wise and ensuring that the matching share of the State Government, if any, has been released to the implementing agency.

(xiii) The State Government shall release their corresponding share in the project from time to time commensurate with the Govt. of India's release and confirm.

(xiv) Subsequent release of the GOI share of the project cost will be in appropriate instalments upon production of Utilization Certificate in Form GFP 19-A duly signed by the authorized signatory; production of Expenditure Statement and Progress Report showing progress in respect of provisions instalment in terms of percentage.

5. The release of funds is subject to the following terms and conditions:-

Financial Aspects:

- i) The terms and conditions as stipulated in the order conveying Administrative Approval & Expenditure Sanction (AA&ES) in respect of the scheme under the Plan shall be adhered to during implementation of the project.
- ii) Any escalation over and above the sanctioned cost of the scheme shall be borne by the State Government. The Implementing Agency has to take this into account while incurring expenditure on the approved project.
- iii) Funds shall be made available to the implementing agencies without delay or diversion.
- iv) The State Govt. shall take all necessary legal and executive measures to ensure that local body augments its resources for Operation & Maintenance of the assets created under the River Conservation Plan.
- v) Appropriate policy initiatives will be taken by State Govt. to tackle non-point sources of pollution including agricultural run-off, industrial pollution and solid waste management.
- vi) On receipt of the grant, the grantee/State Government shall make available its share in the cost of the project, if any. Further instalments of grants of the Central would be released only after the such contribution, if any.
- vii) The funds released for the project should be operated through a separate interest earning bank account. The interest thus earned should be credited to the project and reflected in the Utilization Certificates from time to time and shall be adjusted towards future funds release for the project. For any diversion of funds, the signatory of the bank account shall be held responsible.
- viii) The Government of India reserves the right to withdraw the sanction at any stage if it is convinced that the amount released has not been properly utilized or appropriate progress is not being made.
- ix) The Implementing Agency is not permitted to seek or utilize funds for the same purpose from any other organization (Government, semi-Government, autonomous or private).
- x) The implementing agency shall furnish monthly expenditure statements in the prescribed forms from the commencement of the project. These should be submitted by the 20th of the following month for the preceding month.

received during the various quarters as under

- (a) For the 1st, 2nd and 3rd quarters in the prescribed form 'A' to be provided by NRCD
- (b) For the 4th Quarter in the prescribed form 'B' to be provided by NRCD.
- (c) Utilization certificates will be furnished to NRCD in respect of grants received in various quarters by the dates indicated as follows:-

1 st Quarter	April - June	15 th August
2 nd Quarter	July- September	15 th November
3 rd Quarter	October-December	15 th February
4 th Quarter	January- March	15 th May

xii) The cost of the scheme will be shared on 70:30 basis between the Government of India and the State Govt. The sanctioned amount should be spent exclusively thereon as per the guidelines of the scheme and within the stipulated time. The liability of NRCD will not exceed the amount sanctioned for the project/scheme. Any unspent amount(s) should be surrendered to the Directorate. For carrying forward any unutilized amounts beyond the specified time limit prior approval of NRCD should be obtained.

xiii) It is the responsibility of the implementing agency to ensure that the assets are exclusively used for the purpose for which the grant is sanctioned and to maintain the assets and their records properly.

xiv) All the assets acquired/created out of the grants shall not be disposed of, encumbered, or utilized for any purpose other than that for which sanctioned.

5.1 Audit:

- a) The Comptroller & Auditor General of India at his discretion shall have the right of access to the books and accounts of the Implementing Agency receiving the funds from the Government of India for the purpose of Audit.
- b) The books of accounts of the grantee, relating to this grant, shall be open to Audit by the Internal Audit Wing of this Ministry.
- c) The implementing agency shall furnish to the Government annually a report of its work within three months, and its audited statement of accounts within nine months of the financial year.

5.2 Electronic Remittance of Funds:

The fund releases by this Ministry are being remitted by Electronic transfer. The following details may be kept in updated from time to time to enable electronic remittance:-

- (a) Bank account details both in figures and words to NRCD
- (b) MICR Code and IFSC Code of the Bank Branch.
- (c) Necessary authorization by the grantee organization to its bank to receive the remittances on its behalf and to issue necessary bank receipt to the Ministry's bank for receipt of funds.

5.3 Submission of Monthly Physical & Financial Progress Reports (PFPR):

- (a) Monthly Physical and Financial Progress Reports (PFPR) in the prescribed format shall be signed by at least two designated officers of the Implementing Agency, one of whom will be Chief Engineer/ Head of the Department.

(b) The signing officer will indicate his name and designation in full in capital letters and affix official seal under the signature. While fax PPRs will be accepted for commencement of the process of the case, ink signed PPRs must follow by Speed Post:

(c) Consistency between physical progress and expenditure shall be maintained and reasons for substantial variations, i.e., more than 10% shall be appropriately explained against each item.

5.4 Submission of Utilisation Certificates (UCs):

The Utilization Certificates (UCs) in the prescribed format shall be signed and stamped by the Head of the Organization, Head of the Accounts Department and field level functionary at Executive Engineer level/ Principal Investigator, as applicable. The name and designation of the officers signing the UCs shall be clearly mentioned in full and in capital letters.

5.5 Project Management & Monitoring:

(a) The State Government will critically monitor and review progress of the project in order to ensure that there is no time and cost overrun and it is commissioned on time. Time and cost overrun leading to delay in implementation of projects is viewed with serious concern by the Government and as per instructions contained in Cabinet Secretary's D.O. letter No.261/1/10/2000-Cab. Dated June 4, 2001 read with Planning Commission's D.O letter No.O-14015/2/98-PAMD dated 19.8.1998, mandatory Review of the Schemes must be carried out from time to time so as to assess the expenditure trend and time schedule of the schemes and appropriate action against those responsible delay shall be taken in accordance with the instructions.

(b) The State River Conservation Authority and its Standing Executive Committee shall monitor the project from time to time.

(c) The State Project Management Group being formed shall regularly monitor and report on the implementation issues.

(d) City Level Monitoring Committees shall also be constituted in the town for regular monitoring as per the extant guidelines.

5.6 Inspection:

(a) The Government of India may depute any person/ organisation to visit the implementing agency for the purpose of monitoring its work. Full facilities shall be provided by the implementing agency to the persons deputed for inspection by the Government of India.

(b) The implementing agency shall maintain separate audited accounts for the project. If it is found expedient to keep a part or the whole amount in a bank account interest, the interest thus earned has to be reported as a credit to the grantee adjustable towards further instalments of grant.

5.7 Operation & Maintenance:

(a) Assets created shall be handed over to the local body after completion as per DBO model wherever applicable. In other cases the assets shall be handed over on their completion and construction agency shall continue to maintain assets on contract basis till such time the local body acquires the necessary technical expertise for this purpose.

(b) Local communities may be involved in the operation and maintenance of non-core schemes.

Any staff that may be employed for preparation, execution or operation of the project by the implementing agency are not to be treated as employees of the Government of India. They shall be governed solely by the rules of the grantee with respect to all matters including terms and tenure of service. The deployment of such staff at the time of completion or termination of the project will not be the concern or responsibility of the Government of India.

5.9 The Drawing and Disbursing Officer, National River Conservation Directorate, Ministry of Environment & Forests is hereby authorized to prepare and submit bill for **Rs.36,42,00,000/- (Rupees Thirty six crore and forty two lakhs only)** to Pay and Accounts Officer, Ministry of Environment & Forests, New Delhi to make payment electronically i.e. through CBS/RTGS to Chief Executive Officer, Kolkata Metropolitan Development Authority (KMDA), Prashasan Bhawan Sector-1, DD-1 Salt Lake City Kolkata-700064 whose bank details are given below:-

Name of the Implementing Agency	Address of Implementing Agency	Name of Bank where Account of Agency held	Name & Complete Address of the Bank Branch	MICR Code & IFSC Code	Type of Account	Account No.
Kolkata Metropolitan Development Authority, Kolkata.	KMDA, Unnayan Bhawan (2 nd Floor), Bidhan Nagar, Kolkata-700091	Union Bank of India.	Union Bank of India, 15, India Exchange Place, Kolkata-700001.	MICR Code: 700026003 IFSC Code: UBIN0530166	Current Account.	301601010013286

Receipt of funds may kindly be acknowledged by way of a letter. Changes if any in the bank details shall be communicated to this Ministry immediately.

6. This is a recurring grant and the release of 1st instalment. No Utilization Certification is involved in this case. The grantee institution is a State Government Body.

7. The funds for expenditure on the schemes would be debit to the Major Head '3435', 04.101.06.03.35-Grant-in-Aid(Capital Assets) – National Ganga River Basin Authority (NGRBA) under Demand No. 30-Ministry of Environment & Forests for the financial year 2010-2011 (Plan).

8. In case of violation of any of these conditions of the grant or in case of closure or dissolution of the grantee organization, the Government shall take possession of all the assets of the organization acquired out of the Government grants and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion.

9. This sanction issues under the powers delegated to the Ministry of Environment & Forests and with the approval of the Chief Accounting Authority in the Ministry of Environment & Forests as well as with the approval of Minister of State (I/C) and Union Finance Minister. The advice of JFD was conveyed vide the Dy. No.482/AS&FA/11 dated 01.03.2011.

Yours faithfully

(C. UPPILI)

Under Secretary to the Government of India

Copy forwarded for information and necessary action to:

- 1) The Pay & Accounts Officer, Ministry of Environment & Forests, Parvavaran Bhawan, New Delhi
- 2) DDO (NRCD) (2 copies)
- 3) Joint Director of Audit-II, Commercial, Scientific and Misc. Works, AGCR Building, I.P. Estates, New Delhi.
- 4) Addl. Chief Secretary (Environment & Forests), Government of West Bengal, Writers Building, Kolkata
- 5) Chief Executive Officer, KMDA, "Prashasan Bhawan", Sector-1, DD-1, Salt Lake, Kolkata-700064.
- 6) Member Secretary, West Bengal Pollution Control Board, Parivesh Bhawan, Salt Lake City, Kolkata-700091 with particular reference para-4.(i) i.e. for effective control of industrial pollution.
- 7) Shri Chunar De, DGO, GAP Sector, KMDA, "Unnayan Bhawan", Sector-2, Salt Lake City, Kolkata-700091.
- 8) Chief Engineer (Development-II), Kolkata Metropolitan Water and Sanitation Authority (KMWSA), 34 A&B Sashi Bhusan De Street, Kolkata-700012.
- 9) Chairman of Bhatpara Municipality, West Bengal
- 10) Dir(Fn)/Dir.(KCR)/Dir(BB)/US (F)/US (P).
- 11) Sanction Folder/Guard file/Computer cell.

(C. UPPILI)

Under Secretary to the Government of India.

ANNEXURE I

**Abstract of cost for the Scheme of sewerage system and sewage treatment plant at
Bhatpara, West Bengal under NGRBA**

Sl. No.	ITEM	Rs. in Lacs
A	CIVIL WORKS	
1	Sewer network including appurtenant works	9804.41
2	Sewage Pumping Stations	1507.81
3	Sewage Treatment Plant (21 mld)	1847.67
4	Sewage Treatment Plant (10 mld)	976.13
5	Laboratory Building & Equipments	Already existing
	Sub Total	14136.02
B	Electrical & Mechanical Works	5137.43
	Sub Total (A+B)	19273.45
6	O&M Cost for 5 years	2036.69
	Base cost	21310.14
7	Centages @ 8%	1541.88*
8	Grand Total	22852.02 say Rs. 228.52 crore
9	Govt. of India share	159.96 crore
10	Govt. of West Bengal share	68.56 crore

*Centages has not been allowed on O&M costs of Rs. 20.36 crore

Expected expenditure upto May 2011 integration scheme. Bhatnagar town under NGRBA

Procurement of Departmental Materials	Qty	Rate	Amount	Total Amount
a) CEMENT				
i) Lifting station & STP	3000MT			
ii) Sewer laying including manhole	13000MT			
b) Reinforcement	16000MT	@Rs.5741 / MT		Rs.918.56lacs
c) PIPE				
i) Lifting station & STP	800MT			
ii) Sewer laying including manhole	12000MT			
c) Pipe. Diameter	2000MT	@Rs.41,817 / MT		Rs.836.34lacs
	Qty.	Rate per M	Amount in lacs	
150mm	20000m	Rs.1000	200	
200mm	6000m	Rs.1200	720	
225mm	200m	Rs.2000	4	
250mm	4000m	Rs.2500	100	
300mm	3000m	Rs.2800	84	
375mm	300m	Rs.3200	9.6	
400mm	5000m	Rs.3600	180	
500mm	3000m	Rs.4000	120	
600mm	2000m	Rs.4500	90	
700mm	1000m	Rs.4800	48	
800mm	500m	Rs.5000	25	
			Rs. 1580.60 lacs	Rs. 1580.60 lacs
d) Bitumen	2000MT	Rs.32,400 / MT		Rs. 648.0 lacs
2. Advance for pumps & motors				
a) LS& MPS	Lumpsum		Rs. 400.00lacs	
b) STP	Lumpsum		Rs. 200.0lacs,m	
			Rs. 600.0lacs	Rs. 600.0lacs
3. Execution				
a) Lifting station & MPS	Lumpsum		Rs.300.0lac	
b) Sewer laying including manhole	Lumpsum		Rs.1000.0lacs	
			Rs. 1300.0lacs	Rs. 1300.0lacs
		Grand Total		Rs.5333.50lacs
			Say	Rs 53.34 Crores

Chunna D P
2.2.11

Director General (GAP)
KMDA

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