

F. No. Pr-12013/15/2018-TechConstructionNMCG

National Mission for Clean Ganga

Ministry of Water Resources, River Development & Ganga Rejuvenation  
Government of India

1<sup>st</sup> Floor, Major Dhyan Chand  
National Stadium New Delhi – 110002  
Dated: 8<sup>th</sup> September 2022

To,  
Project Director  
SPMG West Bengal  
Unnayan Bhavan, DJ-11, Sector-II,  
3<sup>rd</sup> floor Block A, Salt Lake, Kolkata-700091

Sub: Administrative Approval and Expenditure Sanction for the project on "Pollution Abatement Works for River Ganga at Kolkata municipality (Installation of New Penstock/construction of I&D structures Gates and Refurbishment of Existing Gates/ I&D structures along the Bank (Eastern & Western) of Beliaghata Circular Canal) in West Bengal State, at a cost of Rs. 4.23 Crs (Rupees Four Crores and Twenty-Three Lakhs only) excluding O&M under DBOT mode.

Sir,

I am directed to convey the Administrative Approval and Expenditure Sanction (AA&ES) for the above mentioned projects with 100% funding under the Central assistance subject to meeting the conditions prescribed by Executive Committee (EC) to Kolkata Municipal Corporation (KMC) as an Executing Agency. The project was considered by the EC of NMCG in its 44<sup>th</sup> meeting held on 17.08.2022.

2. Kolkata Municipal Corporation (KMC), in accordance with this AA&ES may invite the tenders on Design Build Operate Transfer (DBOT) basis under NGP funded project.
3. EC approved the proposal for according Administrative Approval and Expenditure Sanction (AA&ES) for Installation of New Penstock/construction of I&D structures Gates and Refurbishment of Existing Gates/ I&D structures along the Bank (Eastern & Western) of Beliaghata Circular Canal, Kolkata municipality for an estimated amount of **Rs 4.23 Crores** excluding O&M to be implemented on (DBOT) mode, with 100% central sector support.
4. The major project components are as per: -
  - Supply and Installation of New Sluice Gates along the Bank (Eastern & Western) of Beliaghata Circular Canal – 5 nos.
  - Refurbishment of Existing Sluice Gates (Penstock Gates) along the Bank (Eastern & Western) of Beliaghata Circular Canal – 28 nos.



5. The summary of project cost is given below. The component-wise details are given in Annexure-I.

Sl. No	Description of Work	Estimated Cost (₹ in Lacs)
A	Costs to be borne by Central Government	422.73
1	Capital Works Cost including GST	422.73
	<b>Total Project Cost</b>	<b>422.73</b>
	<b>Say in Crores</b>	<b>4.23</b>

6. It is clarified that revised AA&ES on the final project value will be issued at appropriate stage on approval of project by Executive Committee (EC) of NMCG after identification of successful bidder and signing of agreement, if required.
7. The tender works may be awarded within 4 months from the date of issue of AA&ES, failing which the AA&ES may stand cancelled.
8. Administrative Approval and Expenditure Sanction for the project is granted subjected to the conditions as per Annexure –II.
9. State need to submit a revised DPR before bidding, based on compliance to the observations of TPA and NMCG observations and recommendations.
10. The grantee institution i.e. State Programme Management Group (SPMG), is an agency of the State Government constituted with the objective of serving as the dedicated institution for effective implementation of the Namami Gange Programme/NGRBA programme activities at the State level, and the State is responsible in the long term for the conservation and health of the State's stretch of the river Ganga.
11. The compliance with the observations of TPA and NMCG will be ensured by KMC. Further, KMC may ensure that there are no overlaps with the works being undertaken by GOWB and obtain necessary NOCs, including land availability, from the State required for the project before awarding the contract.
12. Any procurement of goods, works and consultancy if required by KMC as part of implementing the project proposal shall be made strictly as per the prevailing procurement guidelines of NMCG/Govt. of India/NGRBA framework.
13. The sanctioned cost of the project will be borne from the 'National Ganga Plan - Non EAP budget head of Namami Gange Mission –II, and expenditure incurred will be booked under the component "Nirmal Ganga- Infrastructure Development and Asset Creation- Pollution Abatement Management - Interception and Diversion (I&D) works."
14. The NMCG/Government of India reserves the right to withdraw the sanction at any stage, if it is convinced that the fund has not been properly utilized or appropriate progress is not being made.
15. In case of violation of any of the conditions of the letter of award or in case of closure or dissolution of the executing agency, the Government shall take possession of all the assets of the organization acquired out of the Government funds and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion.

16. This AA&ES is issued based on approval of the Executive Committee (EC) vide its 44<sup>th</sup> EC meeting held on 17<sup>th</sup> August, 2022, with the approval of Director General, National Mission for Clean Ganga vide Note no. 35 dated 06.09.2022 and concurrence of Executive Director (Finance) vide Note No. 29 dated 03.09.2022.

Yours faithfully,

  
(Binod Kumar)  
Director, Projects

**Copy forwarded for information & compliance of below mentioned conditions to: -**

- 1) The Chief Secretary, Government of West Bengal, Nabanna ,13th Floor, 325, Sarat Chatterjee Road, Mandirtala Shibpur, Howrah-711102
- 2) Municipal Commissioner, Kolkata Municipal Corporation 5, S.N.Banerjee Road, Kolkata700013,

**Copy forwarded for information to: -**

- i. PS to Hon'ble Minister (MoJS, DoWR, RD&GR), Shram Shakti Bhawan, N. Delhi-110001
- ii. PPS to Secretary, MoJS, DoWR, RD&GR, Shram Shakti Bhawan, New Delhi-110001
- iii. PS to Director General, NMCG
- iv. Executive Director (Projects, Finance, Technical, Admin), NMCG, N. Delhi
- v. NMCG Officials / Sanction Folder /Guard File/Computer Cell, MIS/ NMCG.

  
(Binod Kumar)  
Director, Projects

## Annexure-I

	Description	Recommended Cost in Lakh
1	Manufacturing, Supply and Installation of New Sluice Gates along the Bank(Eastern & Western) of Beliaghata Circular Canal	
	Mechanical Works - 5 Nos.	59.23
	Civil Works - 5 Nos.	18.98
	<b>Sub Total</b>	<b>78.21</b>
2	Refurbishment of Existing Sluice Gates(Penstock Gates) along the Bank(Eastern & Western) of Beliaghata Circular Canal	
	Mechanical Works - 28 Nos.	281.35
	Civil Works - 28 Nos.	43.17
	<b>Sub Total</b>	<b>324.52</b>
4	Work Outside Tender	20.00
	<b>Lakh Rs.</b>	<b>422.73</b>
	<b>Say in Crores</b>	<b>4.23</b>



Specific conditions on Administrative Approval and Expenditure Sanction for the project on "Pollution Abatement Works for River Ganga at Kolkata Municipality (Installation of New Penstock/construction of I&D structures Gates and Refurbishment of Existing Gates/ I&D structures along the Bank (Eastern & Western) of Beliaghata Circular Canal) in West Bengal State"

- i. The State Govt. should ensure the availability of land in their possession before bidding of works and cost for land will be borne by state government, a copy of the Land NOC to be shared with NMCG prior to publication of NIT.
- ii. All infrastructure projects need to conduct project specific IEC activities and detailed plan for such activities to be submitted to NMCG.
- iii. "Namami Gange" signage to be placed at all the project sites approved under Namami Gange programme.
- iv. Cost of getting statutory clearances will be provided as a reimbursement on the basis of supporting documents.
- v. Specific clause shall be kept in the bid document for the preparation of construction specific ESIA and ESAMP report and no construction work should start without prior approval of NMCG;
- vi. GST as applicable shall be paid extra and adequate provision shall be kept in the bid document to invite bid with GST inclusive cost. However, in AA&ES GST component has been indicated.
- vii. State Government shall ensure that after implementation of this project, all the I&D in circular canal in project area shall be covered and entire sewage from this area shall be tapped.
- viii. State Govt. need to expedite the bidding process for the project. The entire bidding process including award of work for the project shall be completed within 4 months from the date of issue of AA&ES.
- ix. Cost escalation due to delay by states- change in land locations and consequential cost implications, cost overruns due to delays in sorting out land issues, approval of plans by KMC etc.-to be borne by states.
- x. Conditions/ commitments indicated in the Executive Committee Memorandum, Minutes and other documents including those to be fulfilled before finalizing the bid document(s) shall be strictly adhered to in the project implementation and management. KMC will ensure fulfillment of such conditions before finalizing the bid(s) by the EA. Copies of EC Memorandum, Minutes and other related documents are already circulated.



**1.0 General Conditions:**

- i. West Bengal, State Programme Management Group (SPMG), which is a registered society, shall be responsible for coordination with the KMC, Kolkata Municipal Corporation and other State Level Institutions for overall planning, management and effective implementation of the project at state level.
- ii. The KMC shall be the Executing Agency (EA) for the project to be implemented under (DBOT) mode. As per provisions laid down in the NGRBA programme framework, under the guidance of the SPMG, and overall monitoring of the National Mission for Clean Ganga (NMCG) KMC shall work in close coordination and consultation with the concerned ULB for successful implementation of the project.
- iii. The project will be executed in Design Build Operate Transfer (DBOT) basis and needs to be suitably aligned with the 'Strategic Sanitation Plan'/ 'City Sanitation Plan'/ 'Master Plan' for, Kolkata Municipality. Also, synergy shall be ensured with other Central/State sponsored programme like JnNURM, UIDSSMT etc. in the city of Kolkata Municipality to avoid any overlap / duplication.
- iv. Operation & Maintenance (O&M) of gates is the primary responsibility of state government and state is already operating the existing gates. The cost towards Operation and Maintenance (O&M) may be funded by state from own fund.
- v. The project is to be implemented within 12 months from the date of issue of AA&ES as per the implementation schedule given in Annexure-IV. All the bidding activities and award of work to be completed within 4 months.
- vi. SPMG, KMC and ULB shall not carry out the foundation stone lying/ inaugural function of the said project without consent of NMCG as its 100% central funding and requires many formalities to be observed prior to foundation stone lying / inaugural functions.
- vii. State Government/ Executing Agency shall generate wastewater flow data (drain), wastewater characteristics and river water quality monitoring through actual monitoring and analysis at regular intervals (at-least monthly basis).
- viii. The activities proposed under the project shall conform to all Environmental Legislations, any judicial orders in force at the site of work and the NGRBA programme framework.
- ix. Standard procedure as indicated in the CPHEEO manual on Sewerage & Sewage Treatment and codes of practice of BIS will be strictly followed.
- x. Progress of implementation of the project shall be closely monitored by the State Government of West Bengal /SPMG so as to ensure that the project is completed within the stipulated period of time.
- xi. All components of the project shall be completed within specified time limits and the resources and outputs and outcomes are to be ensured as envisaged in the approved project. Completion Report shall be submitted to NMCG on completion of the project.
- xii. Any additional component relevant for project or any component require modification or deletion, may be added or modified or deleted as the case may be, only with the prior approval of the Competent Authority.
- xiii. Staffs that may be employed for preparation, execution or operation of the project by the EA are not to be treated as employees of the SPMG/ NMCG. The deployment of such staff at the time of completion or termination of the project will not be the concern or responsibility of the SPMG/ NMCG.



- xiv. Optimal utilization of the assets relating to the project and created under Ganga Action Plan or any other Central/ State Plan shall also be ensured by the SPMG/ EA/ ULB.
- xv. All data, records, documents and material related to the project shall be stored properly and catalogued by the SPMG/ EA for reference and retrieval including regular uploading/ disclosure/ updating of such data on website.
- xvi. The State/ SPMG/ EA shall ensure that all provisions of the RTI Act 2005 are adhered to as far as information pertaining to the project is concerned.
- xvii. The State/ SPMG/ ULB shall ensure that public is informed in the State/ city of Kolkata, regarding implementation of the project and soliciting their co-operation and views as applicable.
- xviii. For the provisions made under IEC activities, the SPMG shall make suitable arrangements with Kolkata Municipality for executing the 'Communication and Public Outreach' programme under its supervision towards sensitization of people for abatement of pollution and conservation of river Ganga.
- xix. Conditions/ commitments indicated in this Approval, Executive Committee Memorandum, Minutes and other documents including those to be fulfilled before finalizing the bid document(s) shall be strictly adhered to in the project implementation and management. The SPMG will ensure fulfillment of such conditions before finalizing the bid(s) by the EA. Copies of EC Memorandum, Minutes, appraisal reports, observations and other related documents are already circulated.
- xx. Kolkata Municipality shall be the Urban Local body (ULB) responsible for ensuring commitment to ownership, commitment to reforms for sustainable O & M, and community involvement.
- xxi. The actual project cost shall be the awarded cost. If the awarded cost is within the sanctioned estimated cost then state government is not required to take NOC from NMCG, however work could be awarded only after approval of funding agency. In case, the final/negotiated quote of a contract exceeds the value put to tender, the guidelines of NGP funding project issued by NMCG shall be applicable.
- xxii. Any project cost overrun or time overrun will be brought in the notice of NMCG. Decision of cost overrun and time overrun will be granted based upon discussion in Executive Committee (EC) of NMCG. Cost escalation due to delays in regulatory clearance or any modifications in project plan/ scope by State Government, including change in land, location, other land related issues etc. are to be borne by the State Government.
- xxiii. All the specific conditions and generic conditions mentioned in the AA&ES are to be complied by the SPMG through their Executing Agency. The SPMG will ensure fulfillment of such conditions before finalizing the bid(s) by the EA.
- xxiv. NMCG logo and name to be inscribed at strategic locations.

## **2.0 The release of funds is subject to the following terms and conditions: -**

### **2.1 Annual Plan and Procurement Plan:**

- i. The Annual Plan and yearly Procurement Plan shall be prepared by the month of November every year for the next financial year as per the provisions made in the NGRBA programme framework and submitted by the SPMG to the NMCG for necessary approval and budget allocations.
- ii. The SPMG shall furnish to the NMCG annually a report of its work within three months from the closing of the respective financial year.



## 2.2 Financial Aspects:

- i. The SPMG/ ULB shall take all necessary legal and executive measures to ensure adequate resources available for operation & maintenance of the assets created under the Project to fulfill its mandate.
- ii. The SPMG/ EA are not permitted to seek or utilize funds for the same purpose from any other organization (Government, semi-Government, autonomous or private) without prior approval of the competent authority.
- iii. The sanctioned amount should be spent exclusively thereon as per the scope of the project and within the stipulated time. For carrying forward any work(s)/ activities beyond the specified time limit prior approval of the NMCG should be obtained.
- iv. It is the responsibility of the SPMG/ EA/ ULB to ensure that the assets are exclusively used for the purpose for which the grant is sanctioned and to maintain the assets and their records properly.
- v. Any of the assets acquired/created out of the grants shall not be disposed of, encumbered, or utilized for any purpose other than that for which sanctioned without prior approval of the Government of India.

## 2.3 Audit:

- i. The Comptroller & Auditor General of India at his discretion shall have the right of access to the project related books and accounts of the Executing Agency for the purpose of Audit.
- ii. The books of accounts of the Executing Agency, relating to this letter of award, shall be open to Audit by any authorized officer of NMCG or any other person authorized by NMCG in this regard.
- iii. The overall auditing arrangements to cover both periodical internal and annual external audit of project shall be ensured as per the 'Financial Management Manual (FMM)' of the NGRBA programme framework.

## 2.4 Flow of Funds:

'Assignment Limits to SPMG-West Bengal are allocated by the National Mission for Clean Ganga from time to time as per the Treasury Single Account (TSA) System, based on projected fund requirements. Funds for the present project will be made available by the SPMG- West Bengal from the overall assignment limit. The objective of the TSA is to ensure "just in time release" and eliminate/ reduce parking of funds at all levels of project implementation. Fund flow from SPMG- West Bengal to the executing agency may be, to the extent possible should be on the basis of reimbursement as per the bills raised/ submitted by the executing agency. Since the fund flow is based on "just in time release", there should not be any accrual of interest on grants-in-aid received from NMCG. However, any interest earned on the grant received from NMCG/ GoI should mandatorily be remitted back to NMCG immediately after finalization of accounts for depositing the same to the Consolidated Fund of India.

- i. The assignment limit allocated to SPMG- West Bengal will lapse at the end of financial year.
- ii. Allocation of assignment limit to SPMG- West Bengal or allocation of fund by SPMG to Executing Agency is not counted as expenditure under TSA. Only final payment to a



vendor is counted as expenditure. Hence all bills raised by the EA should be settled immediately”.

#### **2.5 Submission of Monthly and Quarterly Physical Progress Report (MPPR / QPPR):**

- i. The Monthly Physical Progress Reports (MPPRs) shall be submitted by the 10th day of every month regularly by the Executing Agency to the NMCG in a mutually developed format. The Quarterly Physical Progress Report (QPPRs) shall be submitted to the NMCG within 30 days from the end of each quarter.
- ii. The signing officers will indicate her/his name and designation in full in capital letters and commencement of processing the case, ink-signed MPPR must follow by Post.
- iii. Consistency between physical progress and expenditure shall be maintained and reasons for substantial variations i.e., more than 10% shall be appropriately explained against each item.

#### **2.6 Submission of Accounting and Financial Reports (AFR) by the EA:**

- iv. The EA shall furnish monthly 'Accounting and Financial reports (AFRs)' by the 10<sup>th</sup> day of every month with all relevant documents and materials as per the 'Financial Management Manual (FMM)' of the NGRBA programme framework after commencement of the project to the SPMG.
- v. The 'Accounting and Financial Report (AFR)' in standard format, to be developed by the EA in consultation with SPMG, shall be signed and stamped by the Head of the Accounts Department and Head of the Organization, KMC.
- vi. The name and designation of the officers signing the AFR shall be clearly mentioned in full and in capital letters. While AFR submitted by fax will be acceptable for commencement of processing the case, ink-signed AFR must follow by Post.
- vii. As part of the AFR, the EA shall submit the followings to the SPMG:
- viii. Invoices of suppliers/ contractors against which online payment instructions issued by the EA in the previous month.
- ix. A list of invoices received and not paid during the previous month.
- x. A list of contracts signed during the previous month.

#### **2.7 Submission of Utilization Certificates (UCs):**

- i. The quarterly Utilisation Certificates (UCs) (in the prescribed format) Form GFR 12A (shall be furnished by the SPMG- West Bengal to the NMCG in respect of grant-in-aid received during the various quarters within 30 days from the end of quarter, duly signed and stamped by the Head of the Organisation, and Chief Finance Officer. UC should also indicate physical progress in addition to financial progress.

#### **2.8 Inspection and Monitoring:**

- ii. The 'West Bengal State Ganga Council' shall ensure close monitoring and evaluation of progress of the project, and also monitor implementation performance of the EA.
- iii. City level Citizen's Monitoring Committees (CMC) shall be constituted in the Kolkata city to serve as a transparency mechanism on flow of project /programme related information to citizens and key stakeholders and to garner their feedback on project /programme processes, as described in the NGRBA programme framework. Social audit

will be conducted by the CMC as per the provisions of the NGRBA programme framework.

- iv. NMCG may depute any person to visit the SPMG/ EA for the purpose of monitoring its work and accounts of the SPMG. Full co-operation shall be provided by the EA to the persons deputed for inspection.
- v. Time and cost overrun leading to delay in implementation of projects is viewed with serious concern by the Central Government and as per instructions contained in Cabinet Secretary's D.O. letter No. 261/1/10/2000-Cabinet, dated June 4, 2001 read with Planning Commission's D.O. letter No. O-14015/2/98-PAMD, dated 19.08.1998 (kindly visit NGRBA website/ 'Project Monitoring' for details), mandatory review of the project must be carried out from time to time so as to assess the expenditure trend and time schedule of the project and appropriate action against those responsible for delay shall be taken, in accordance with the instructions.
- vi. The Director General, NMCG may monitor overall progress of project periodically from time to time.

\*\*\*\*\*



Installation of new penstock gates and refurbishment of existing gates along the bank (Eastern & Western) of Belaghata Circular Canal..

BAR CHART FOR PROJECT IMPLEMENTATION (AFTER ISSUANCE OF WORK ORDER)

MAJOR ACTIVITY/ WORKS	MONTHS	0.5	1	1.5	2	2.5	3	3.5	4	4.5	5	5.5	6
DETAILED SURVEY & INVESTIGATION		█											
DESIGN OF GATES AND CIVIL STRUCTURE		█											
PROCUREMENT OF ITEMS				█									
CIVIL WORK FOR INSTALLATION OF GATES				█	█	█	█	█	█	█	█	█	█
FABRICATION OF GATE COMPONENTS					█	█	█	█	█	█	█	█	█
INSTALLATION OF GATES								█	█	█	█	█	█
CONSTRUCTION OF PATHWAYS AND SHEDS										█	█	█	█
CLEARING OUT THE SITE & FINAL COMMISSIONING													█